

1 **A systematic review of the status of children’s school access in low- and**
2 **middle-income countries between 1998 and 2013: Using the INDEPTH**
3 **Network platform to fill the research gaps**

4
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11

12 **Abstract**

13 ***Background***

14 The framework for expanding children's school access in low- and middle-income countries
15 (LMICs) has been directed by universal education policies as part of Education for All since
16 1990. In measuring progress to universal education, a narrow conceptualisation of access
17 which dichotomises children's participation as being in- or out- of school has often been
18 assumed. Yet the actual promise of universal education goes beyond this simple definition
19 to include: retention, progression, completion and learning.

20 ***Objective***

21 Our first objective was to identify gaps in the literature on children's school access using the
22 zones of exclusion of the Consortium for Research on Educational Access, Transition, and
23 Equity (CREATE) as a framework. Secondly, we gave consideration to how these gaps can be
24 met by using longitudinal and cross-country data from Health and Demographic Surveillance
25 System (HDSS) sites within the International Network for the Demographic Evaluation of
26 Population and Their Health (INDEPTH) in LMICs.

27 ***Design***

28 We conducted a literature search using Web of Science of studies published in international
29 peer-reviewed journals between 1998 and 2013 in LMICs. The phrases we searched included
30 six school outcomes: school enrolment, school attendance, grade progression, school
31 dropout, primary to secondary school transition, and school completion. From our search,
32 we recorded studies according to: (1) school outcomes; (2) whether longitudinal data were
33 used; and (3) whether data from more than one country were analysed.

34 ***Results***

35 The area of school access most published is enrolment followed by attendance and dropout.
36 Primary to secondary school transition and grade progression had the least number of
37 publications. Of 132 publications which we found to be relevant to school access, 33 made
38 use of longitudinal data and 17 performed cross-country analyses.

39 ***Conclusions***

40 The majority of studies published in international peer-reviewed journals on children's
41 school access between 1998 and 2013 were focused on three outcomes: enrolment,
42 attendance and dropout. Few of these studies used data collected overtime or data
43 collected from more than one country for comparative analyses. The contribution of the
44 INDEPTH Network in helping to address these gaps in the literature lies in the longitudinal
45 design of HDSS surveys and the diversity of countries within the Network.

46 ***Key words***

47 School access, Enrolment, Attendance, Grade progression, Dropout, Primary to secondary
48 school transition, and Completion

49 Introduction

50 Over the last two decades, Education for All (EFA) has generated much research interest on
51 children's school access in low- and middle-income countries (LMICs). EFA is a global policy
52 framework designed to expand access to education among children. It was first introduced
53 in 1990 at the World Conference on EFA in Jomtien, Thailand, specifying six goals to be
54 achieved by 2000 (1). One of the goals, Universal Primary Education (UPE, Goal 2) has been
55 the centrepiece for the EFA movement. The UPE promised to 'ensure that by 2005 all
56 children, particularly girls, children in difficult circumstances and those belonging to ethnic
57 minorities, have access to, and complete, free and compulsory primary education of good
58 quality' (2). In 2000, the policy was recommitted at the World Education Forum (WEF) in
59 Dakar, Senegal (3). The goals outlined at the WEF were similar to those developed at the
60 1990 World Conference. Two of the EFA goals – UPE and Equal Gender Parity – were
61 included as Goal 2 of the Millennium Development Goals (MDGs) in 2000. Through the
62 MDGs, UPE continued to form the focus of international and national investment. The
63 success of this investment led to the adoption of Universal Basic Education (UBE), a policy
64 which extended the UPE policy by promising to provide universal primary and lower
65 secondary education.

66 The core of UBE is to expand 'access' to school for children. Both the World Conference and
67 WEF for the EFA however did not provide a strict definition of access. Rather, a series of
68 indicators were designed to measure progress towards universal education. The most
69 commonly used indicators have been the gross enrolment/attendance ratio and the net
70 enrolment/attendance ratio (2). Enrolment ratios refer to the number of children who are
71 enrolled in school. Attendance ratios, by comparison, refer not to enrolment but to the
72 number of children attending school. The distinction is important because the two
73 indicators can give very diverse implications of school participation. In many LMICs it is not
74 uncommon to have higher enrolment rates than attendance rates. The reason being that
75 households may enrol a child but that child may have infrequent attendance or indeed may
76 not attend school at all meaning that participation rates may be inflated by enrolment rates.
77 This problem is compounded by poor qualities of data collection and management systems,
78 particularly in low income settings, which make it difficult to capture actual rates of
79 attendance and enrolment.

80 The use of the gross enrolment ratio (GER), gross attendance ratio (GAR), net enrolment
81 ratio (NER), and net attendance ratio (NAR) as indicators of progress towards universal
82 education implied that 'access' was to be understood as the proportion of children who had
83 gained admission to school. This in turn suggested a dichotomous definition of 'access' with:
84 (1) children in the education system and (2) those 'out-of-school'. With this narrow
85 definition, the race to achieving UPE became concerned with reducing the 'out-of-school'
86 population with little attention being paid to how children progressed in the education
87 system once they entered school.

88 The model of educational access of the Consortium for Research on Educational Access,
89 Transition, and Equity (CREATE) provides a broader conceptualisation of access by
90 identifying Zones of Exclusion which highlight various patterns of school behaviour among
91 children of school age (refer to Table 1). Zone 0 includes children who are excluded from
92 pre-school. Children who have never enrolled in primary school are captured by Zone 1.
93 Zone 2 refers to attrition at the primary school level: children who have enrolled in primary

94 school but who then subsequently dropout. Zone 3 covers a vulnerable section of the in-
95 school population who are at an increased risk of dropping out. This group of children
96 include: overage children, irregular attenders, and low achievers. Children who complete
97 primary school but are unable to transition into lower secondary school form the focus of
98 Zone 4. Zone 5 holds a similar definition to Zone 2 in its emphasis on school dropouts; it
99 refers to children who enter secondary school but are unable to remain in school for the full
100 secondary school cycle. The final Zone covers the same group of children as in Zone 3 but at
101 the secondary school level.

102

103 Insert Table 1

104

105 The zones of exclusion conceptualise access as a continuum of participation within an
106 education system, entering at the pre-school level and remaining in school until the end of
107 secondary education. It accounts for enrolment, attendance, progression, dropout, and
108 transition from primary to secondary school, completion of a school cycle and learning of
109 the school curriculum. This definition better reflects the realities of school behaviour in
110 LMICs and the promise of UBE contained in the EFA framework. That is, in order for
111 education to be meaningfully universal, simply enrolling a child into school is inadequate.
112 Upon entering school, children must be able to regularly attend school, move from one
113 grade to the next, and complete a full course of primary education and lower secondary
114 education. Further, having completed a cycle of school, children should be able to
115 demonstrate competence in the curriculum.

116 For this study, we use CREATE's zones of educational exclusion to review studies which have
117 explored access to primary and secondary school between 1993 and 2013 in LMICs. Our aim
118 was to identify gaps in the literature focusing on: (1) the least explored school outcomes; (2)
119 studies using longitudinal data; (3) studies performing cross-country analyses. We restricted
120 our attention to studies that have explored school participation and so we only considered
121 studies where the outcome was one or more of the following: school enrolment, school
122 attendance, grade progression, school dropout, primary to lower secondary transition, and
123 school completion. Having reviewed the literature, we move to discuss how data from
124 Health and Demographic Surveillance Systems (HDSS) sites within the INDEPTH Network can
125 be used to fill the evidence gaps that we identify through our review.

126 The International Network for the Demographic Evaluation of Populations and Their Health
127 (INDEPTH) is a not-for-profit non-governmental organisation currently comprising 52 HDSS
128 sites in 20 LMICs in Africa, Asia and Oceania (4). The majority of the 52 HDSS sites collect
129 routine information on children's current school status; individual as well as household level
130 demographic and socio-economic information; and school availability and type of school.
131 Some sites use GIS to enumerate the number of schools by type. The HDSS sites
132 continuously monitor and evaluate populations and their health overtime. They survey
133 mainly three types of populations in LMICs including those in: (1) rural areas; (2) border
134 towns; and (3) urban informal settlements. Operating within the same local population
135 overtime, the HDSS sites are able to detect change at a micro-level in the dynamics of the
136 population, including changes in children's schooling outcomes.

137 The longitudinal arrangement of the HDSS sites and the diversity of countries within the
138 INDEPTH Network offer a unique opportunity to further explore children's access to school
139 in LMICs through longitudinal analyses and cross-country analyses. Longitudinal data
140 analyses can help us to better uncover the temporal pathways of children's transition
141 through the education system and how these transitions may be affected by conditions
142 within households and communities. We are also able to better observe changes in local
143 conditions (i.e. political, economic, demographic changes) and relate these contextual
144 changes to children's school outcomes overtime. Cross-country analyses as well as
145 comparisons between multiple localities in a single country can help us to engage with more
146 nuanced analyses of how differences between localities can affect children's schooling
147 outcomes. Such an understanding may help us to uncover successful programs that may be
148 relevant and beneficial to other settings. These advantages of longitudinal and cross-country
149 analyses justify our decision to highlight the evidence gap in the literature on school access
150 around use of longitudinal survey data and cross-country data. The objectives of this paper
151 are to:

- 152 1. Identify gaps in the literature on children's school access using CREATE's zones of
153 exclusion as a framework; and
- 154 2. Discuss how these gaps can be met by using data from Health and Demographic
155 Surveillance System sites within the INDEPTH Network.

156 The paper is structured as follows. We first present the methods that we used to achieve
157 our research objectives. Here we describe the process for the literature search, detailing the
158 databases and keywords we used We then present our findings summarising the
159 publications obtained from our literature search by using the keywords 'school outcome',
160 'use of longitudinal data', and 'cross-country studies'. Following this, we present a
161 discussion of how data from HDSS sites can contribute to narrowing the gaps that we
162 identify through our review. In the conclusion we summarise the main findings from this
163 review and highlight the policy implications of our research to children's school access in
164 LMICs.

165

166 **Method**

167 We conducted this research in three stages. In the first stage, we performed a systematic
168 literature review of studies using Web of Science, a reference database holding citations for
169 every discipline and world region. We searched for six phrases including: 'school enrolment',
170 'school attendance', 'grade progression', 'school dropout', 'primary to secondary school
171 transition', and 'school completion'. Each search was defined by journal publications in
172 LMICs in Africa, Asia and Oceania since these are the countries which form the INDEPTH
173 Network. Also, we restricted our search to studies conducted between 1998 and 2013 as the
174 INDEPTH was established in 1998 and the EFA was included in the MDGs in the year 2000.
175 From our literature search 1,481 references were returned: grade progression (418
176 references); primary to secondary school description (329 references); school attendance
177 (274 references); school enrolment (234 references); school completion (143 references);
178 school dropout (83 references). Of the 1,481 references returned, only 132 were relevant to
179 our focus on school access. In the second stage, we reviewed the 132 references and
180 summarised them according to our key phrases or school outcomes. Lastly, we made note

181 of all studies that used longitudinal data sources and studies that used data from more than
182 one country.

183

184 **Results**

185 This section presents our results from the literature review. We first present the
186 publications which we found to be relevant to our search; we summarise findings according
187 to publications which focused mainly on one of the six school outcomes that we searched
188 and those which explored more than one of the school outcomes (see Table 2).

189 Subsequently, the findings from our analysis of studies using longitudinal data and cross-
190 country data are presented respectively.

191

192 Insert Table 2

193

194 From our search, a total of 132 references were found to be relevant to children's schooling
195 as framed within CREATE's zones of exclusion. Having reviewed these references, 'school
196 enrolment' was the most analysed school outcome (71 publications). Over half of the
197 studies which analysed school enrolment as an outcome focused mainly on children's
198 enrolment (49 out of 71 publications). 'School attendance' (24 publications) and 'school
199 dropout' (24 publications) were the second most analysed outcomes. As with school
200 enrolment, the majority of studies published on school attendance and school dropout were
201 focused singularly on exploring these outcomes: 19 out of the 24 publications for school
202 attendance and 19 out of the 24 publications for dropout were focused mainly on analysing
203 children's attendance and dropout respectively. The least studied school outcomes were
204 'grade progression' (3 publications) and 'primary to secondary school transition' (3
205 publications). Few studies have also been conducted on 'school completion' (7 publications).
206 All the publications that we reviewed on 'primary to secondary transition' analysed only this
207 outcome in the study. By contrast, all the publications we reviewed for grade progression
208 did not solely focus on exploring children's progression between grades; they analysed
209 other outcomes like dropout, completion, and school entry.

210 Between 1998 and 2013, journal publications on longitudinal studies which explored
211 children's school outcomes in LMICs were scarce (see Tables 3 and 4). Table 3 shows
212 publications that used longitudinal data and analysed one of the school outcomes that we
213 searched; Table 4 also shows publications which used longitudinal data but where more
214 than one outcome was analysed. Of the 132 that we reviewed, thirty three made use of
215 longitudinal data. In Table 3, we see that the use of a longitudinal data source have been
216 most frequent among studies where school enrolment is the main outcome variable (10
217 publications). Five of the nineteen studies on school dropout made use of longitudinal
218 surveys compared to three of the 19 studies on school attendance. The publications on
219 school completion and transition from primary to secondary school had one study each
220 where longitudinal data were used. In Table 4, thirteen studies (of the 38 studies that
221 analysed more than one school outcome) were found to have made use of longitudinal
222 data.

223

224 Insert Table 3

225

226 Insert Table 4

227

228 There was some variation in the data source of the longitudinal surveys and the countries in
229 which the surveys were conducted. The surveys were more likely to have been conducted in
230 countries in sub-Saharan Africa (21 publications) and Asia (11 publications). The most
231 frequently studied countries were South Africa (7 publications) and Kenya (7 publications).
232 The data sources from the studies on these countries were similar. Four of the seven studies
233 on South Africa used data from the Demographic Surveillance Area in KwaZulu-Natal (5, 6, 7,
234 8); two used data from the Birth-to-Twenty cohort panel study (9, 10); and the remaining
235 study used data from the Education Management Information System (11). For the studies
236 on Kenya, data from Nairobi's Demographic Surveillance System sites collected under the
237 African and Population Health Research Centre's Education Program were the most
238 frequent source (12, 13, 14, 15). Three of the studies however used data from elsewhere:
239 (1) Evans and Miguel (16) used panel data collected from a Pupil Questionnaire and Tracking
240 survey between 1998 and 2002 in Busia district; (2) Nyambedha and Aagaard-Hansen (17)
241 analysed data from a school based dropout study in Western Kenya; and (3) Nishimura and
242 Yamano (18) made use of panel data collected from household community survey in rural
243 Kenya.

244 Other countries like Thailand (4 publications), India (3 publications), China (2 publications),
245 Ethiopia (2 publications) and Tanzania (2 publications) were also studied using longitudinal
246 surveys. Three of the four studies which performed longitudinal analyses for Thailand used
247 the same data from the Demographic Surveillance System site in Kanchanaburi province (19,
248 20, 21). The studies on India all used a different data source: one study used a household
249 survey from Uttar Pradesh (22); another study used data from the Young Lives household
250 survey (23); and the last study used school panel data (24). The studies on China (25, 26),
251 Ethiopia (27, 28) and Tanzania (29, 30) also used data from different sources.

252 Very few of the studies that we reviewed performed cross-country analyses (see Table 5). Of
253 the 132 publications that we reviewed, seventeen performed cross-country analyses.

254 Studies which explored school enrolment (n=6) as the main outcome had the most number
255 of cross-country publications followed by those on dropout (n=3) and attendance (n=2).

256 Countries in sub-Saharan Africa were the most likely to be included in comparative studies:
257 thirteen of the seventeen studies were focused only on countries in the sub-Saharan
258 context. Among the remaining studies, four were focused on LMICs more broadly (31, 32,
259 33) with one of these studies analysing data from low income countries only (34).

260

261 Insert Table 5

262

263 The majority of the data used in these studies originated from cross-sectional household
264 surveys. The Demographic and Health Survey (DHS) was the most frequently used data
265 source: eight of the seventeen studies used the DHS for analyses. The Integrated Household
266 Survey and Multiple Indicator Cluster Surveys were also used (35, 36). These surveys, like
267 the DHS, are large scale surveys designed to be nationally representative which are used to
268 collect demographic, health, poverty, and education indicators in LMICs. Biddlecom et al
269 (37) also used a large scale survey (i.e. National Survey of Adolescents) although this survey
270 is administered only in four countries in sub-Saharan Africa: Ghana, Burkina Faso, Uganda,
271 and Malawi. Some studies employed a case study approach, triangulating different sources
272 of data, for their research (38, 33, 39). Among the three remaining studies, one used data
273 from Education Management Information Systems (4); another used the World Bank Unit
274 record household datasets (40); and the last made use of data from the armed conflict
275 dataset of the international peace research institute (41).

276

277 **Discussion**

278 The first objective of this paper has been to identify gaps in studies on children's school
279 access in LMICs. The main gaps which we have identified can be summarised as such:

- 280 1. Grade progression, primary to secondary school transition and completion were the
281 least studied school outcomes
- 282 2. Around a quarter of studies in our review used data collected overtime: 33 out of
283 132 publications
- 284 3. Studies which used longitudinal data were more likely to have been conducted in
285 South Africa, Kenya, and Thailand. The data from the these studies were collected
286 mainly from Demographic Surveillance System sites
- 287 4. Just over one tenth of studies in our review performed cross-country analyses: 17
288 out of 132 publications
- 289 5. Over two-thirds of the cross-country analyses were focused only on countries in sub-
290 Saharan Africa: 13 out of 17 publications. The most frequently studied countries
291 were Ghana, Malawi and Uganda
- 292 6. Large scale cross-sectional surveys were most frequently used to perform cross-
293 country analyses; the DHS was the main data source

294 Data from HDSS sites operating within the INDEPTH Network can contribute to narrowing
295 the gaps which have been highlighted in this review. The INDEPTH Network oversees and
296 co-ordinates multi-site research activities in 52 HDSS sites in 20 LMICs in Africa, Asia and
297 Oceania (see Table 6). Data on children's school attendance including the grade and level of
298 education being attended is routinely collected among the population under surveillance
299 within the HDSS sites. Children's school data are often enumerated at the beginning of the
300 academic school year. These data can therefore be compared across years to observe
301 whether a child returns to school and which grade a child attends from year to year. Where
302 data are collected more than once a year, as in Ifakara (Tanzania) and Ouagadougou
303 (Burkina Faso) for instance, we can observe disruptions in children's schooling during the
304 academic year helping us to understand access beyond simple enrolment. That is, the data
305 can be used to answer process driven questions such as what happens to children when
306 they enter school; how do children move from grade to the next; and how do they transition
307 from one level of education to the next. Exploring these questions can contribute to

308 narrowing the deficit in studies on grade progression, primary school completion, and
309 primary to secondary school transition.

310

311 Insert Table 6

312

313 The longitudinal design of the HDSS offers significant potential for studying children's
314 schooling outcomes. The operation of the HDSS allows children to be continuously observed
315 and tracked from the year they enter school. This provides rich data that can be used to
316 perform detailed analyses of household schooling decisions overtime. Information is also
317 collected at the household and community levels. At the household level, questions are
318 administered on socio-economic and demographic characteristics of the household. At the
319 community level, information is available on school supply as well as type of school, access
320 to infrastructure, services and amenities. Data collected at the household level make it
321 possible to observe how changes within the home can affect decisions to send a child to
322 school. Similar analyses can be applied to understand how changes within communities can
323 affect schooling outcomes.

324 The longitudinal setup of the HDSS also enables us to observe how educational programs
325 and policies can impact on children's schooling. Since 2000, governments in LMICs have
326 introduced a series of measures to expand access such as school feeding policies, girl
327 friendly policies, and capitation grants (42, 43). Often, however, these policies are assessed
328 at a national level using large scale cross-sectional surveys to estimate enrolment ratios and
329 levels of attainment (32, 44). Using the HDSS sites, it is possible to observe to what extent
330 UPE policies affected children's schooling behaviour and analyse how children progressed in
331 the school system once they entered. It is also possible to compare within countries (for
332 countries with multiple HDSS sites) how response to education policies and programs varied
333 between localities. The longitudinal structure of the HDSS data can therefore make a
334 significant contribution to educational studies in LMICs by enabling us to observe change
335 overtime and explore the temporal sequence of events.

336 The diversity of countries in the INDEPTH Network presents another way in which data from
337 HDSS sites can make a contribution to educational studies in LMICs. As noted above, there
338 are 20 countries within the INDEPTH Network in which there are 52 HDSS sites. The majority
339 of the HDSS sites are in sub-Saharan Africa (39 out of 52 sites); there are eleven HDSS sites
340 in Asia and two HDSS sites in Oceania. In sub-Saharan Africa, the HDSS sites are located in
341 fourteen countries; in Asia they are in five countries and in Oceania the two HDSSs are
342 located in the same country. The countries in sub-Saharan Africa include: Burkina Faso, Cote
343 D'Ivoire, Ethiopia, The Gambia, Ghana, Guinea Bissau, Kenya, Malawi, Mozambique, Nigeria,
344 Senegal, South Africa, Tanzania, and Uganda. In Asia the countries are: Bangladesh, India,
345 Indonesia, Thailand, and Vietnam; in Oceania there is Papua New Guinea. The majority of
346 comparative studies which have so far been conducted have focused on countries in sub-
347 Saharan Africa, namely Ghana, Uganda, and Malawi. The countries within the INDEPTH
348 Network are diverse and can be used to form comparisons between African and Asian
349 countries as well as with Papua New Guinea. Even within the same continent, there are
350 many countries which so far have been little explored. In the sub-Saharan context for
351 instance, so-called Francophone countries have been less represented in the literature.

352 Children's school access can be compared between these countries and the others in the
353 sub-region as well as with those in Asia.

354 Among the cross-country studies that we reviewed cross-sectional surveys designed to be
355 nationally representative were mainly used with the DHS being the most frequently used
356 survey. One of the constraints of using the DHS for studying education outcomes is that the
357 survey does not collect information on school supply variables. Therefore, apart from
358 Filmer's (34) study which used a special round of the DHS that had collected information on
359 distance to school, none of the studies could account for school supply variables. Another
360 limitation of the DHS is that analyses cannot be performed to understand how patterns and
361 trends in access to school change overtime. The most common theme among the cross-
362 country studies that we reviewed was to demonstrate levels of school enrolment through
363 univariate and bivariate analyses (controlling for sex of the child, household poverty and
364 area of residence). Data from the HDSS sites can contribute to narrowing these gaps by
365 developing more complex and robust models which account for both supply and demand
366 variables. These models can be applied across multiple HDSS sites between countries to
367 assess variations in the factors which affect children's schooling. Additionally, longitudinal
368 models can be developed to evaluate how the determinants of children's schooling
369 outcomes have changed overtime. Assessing change in the determinants of schooling
370 outcomes is justified by the need to target resources more efficiently to areas which have
371 the strongest impact on access.

372 Surveys conducted at a national level were more frequently used in the cross-country
373 studies. The HDSS sites by contrast are focused often on smaller geographic and
374 administrative regions and uniquely follow marginalised populations such as those in
375 remote rural areas or poor urban informal settlements. Children living in marginalised
376 populations such as urban informal settlements or rural communities have the least access
377 to school (12, 14, 38). These localities are often resource deprived lacking access to school
378 infrastructure particularly schools of good quality (45, 46). In these populations, children
379 from poor households and girls are confronted with severe barriers to enter, progress,
380 complete primary school and transition to secondary school (44, 47, 48). There are few
381 studies which utilise survey data overtime to undertake enquiries as to how access among
382 marginalised populations has changed overtime and how changes within these context
383 affects changes in children's schooling behaviour. Data collected at INDEPTH HDSS sites can
384 contribute to narrowing this gap in the literature. Also, as well as forming comparisons
385 between countries, analyses can be performed on multiple HDSS sites within countries as
386 has been done by studies which have used the Nairobi HDSS (12, 13, 14). The emphasis is to
387 uncover how variations both between and within countries can influence households'
388 decision making process to invest in a child's education overtime. The location and size of
389 the population under surveillance within HDSS sites therefore offer yet another opportune
390 advantage to conduct more nuanced and detailed comparative analyses.

391

392 **Conclusion**

393 The gaps which we have identified through our literature review suggests a significant role
394 of for longitudinal data in LMICs to explore educational outcomes beyond school enrolment
395 and attendance. As we move towards a post-2015 development agenda, a broader
396 conceptualisation of school access is likely to become more relevant demanding a focus

397 away from a dichotomous understanding of school access to one where it is understood as a
398 continuum, a process in which children enter, remain, progress, complete primary school
399 and transition to higher levels of education. Adopting this alternative approach to
400 understanding school access implies a significant role for studies conducted overtime in
401 future research. Longitudinal studies can be useful for observing children's school access as
402 a continuum. Here, data collected repeatedly through sites within HDSS sites can make a
403 contribution to better understanding those school outcomes which have been little
404 explored in educational studies in LMICs. Further, the HDSS sites operate in populations
405 which have been found to be the most marginalised in school access, namely in rural and
406 poor urban areas. The data collected from these sites can be used as evidence design more
407 targeted policy initiatives for improving participation and retention rates among children in
408 deprived populations.

409

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414

415 **Conflict of interest**

416 Osman Sankoh is the Executive Director of the INDEPTH Network. Mamusu Kamanda is a
417 postdoctoral researcher in Education at INDEPTH Network.

418

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576 **Tables**
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Table 1: Consortium for Research on Educational Access, Transitions and Equity's Zones of exclusion for educational access among children of school age

Zones of exclusion	Description
Zone 0	No pre-school access
Zone 1	Children who never enrol in primary school
Zone 2	Primary dropouts
Zone 3	Overage children, irregular attenders and low-achievers at primary level who are 'silently excluded' and learn little
Zone 4	Primary leavers not entering secondary
Zone 5	Secondary dropouts
Zone 6	Overage children, irregular attenders, low-achievers and those silently excluded at secondary level

Source: definition taken from Lewin (4)

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Table 2: All references obtained from search in Web of Science by school outcome

Phrase searched	Total relevant publications returned from keyword search	Total publications which focused on outcome
School enrolment	71	49
School attendance	24	19
Grade progression	3	0
School dropout	24	19
Primary to secondary school transition	3	3
School completion	7	4
<i>More than one outcome</i>	n/a	38

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Table 3: Publications using longitudinal data which explored mainly one school outcome arranged by the source of data that was used, country in which data was collected, and reference for the publication

Outcome	Data source	Country	Reference
School enrolment	1. Longitudinal study (2000-2003)	1. Thailand	1. Jampaklay (19)
	2. Kanchanaburi Demographic Surveillance System (2000-2004)	2. Thailand	2. Mahaarcha and Kittisuksathit (20)
	3. African Centre for Health and Population Studies	3. South Africa	3. Case et al (5)
	4. Panel data (2004-2007)	4. Kenya	4. Nishimura and Yamano (18)
	5. Panel data from KwaZulu-Natal Income Dynamics Study (1993-1998)	5. South Africa	5. Handa and Peterman (6)
	6. APHRC household data (2000-2005)	6. Kenya	6. Ngware et al (15)
	7. APHRC household data (2005-2009)	7. Kenya	7. Oketch et al (13)
	8. APHRC 2005 schooling history data	8. Kenya	8. Oketch et al (12)
	9. APHRC household data (2005-2009)	9. Kenya	9. Oketch et al (2012)
	10. Ethiopian Environmental Household Study (2000-2007)	10. Ethiopia	10. Lindskog (27)
School attendance	1. Panel household survey (1991-94)	1. Tanzania	1. Ainsworth et al (29)
	2. PASADA community faith based agency	2. Tanzania	2. Ng'ondi (30)
	3. Young Lives household survey	3. India	3. Woodhead et al (23)
School dropout	1. Kanchanaburi Demographic Surveillance System (2001-2004)	1. Thailand	1. Korinek and Punpuing (49)
	2. Community and School Studies data (2007-2009)	2. Bangladesh	2. Sabates et al. (50)
	3. 2009-2011 panel dataset	3. China	3. Yi et al (25)
	4. Longitudinal school-based dropout study (1999-2001)	4. Kenya	4. Nyambedhe and Aagaard-Hansen (17)
	5. Individual level data (2008-2009)	5. Cambodia	5. No et al ()
Primary to secondary school transition	1. Household survey, Uttar Pradesh	1. India	1. Siddhu (22)
School completion	1. Nang Rong Social (1984, 1994, 2004)	1. Thailand	1. Piotrowski and Paat (21)

580 Note: APHRC (African Population Health Research Centre) collects data in an urban demographic surveillance
581 system in Nairobi, Kenya: Viwandani and Korogocho (slums); Jericho and Harambee (non-slum)

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Table 4: Publications which used longitudinal data and analysed multiple school outcomes arranged by the source of data that was used, country in which data was collected, and reference for the publication

School outcome	Data source	Country	Reference
Attendance, and highest grade attained	Household survey (2005-2007)	Ethiopia	Belachew et al (28)
Enrolment in grade 1, grade progression, primary school completion	Birth-to-Twenty cohort panel study	South Africa	Fleisch and Shindler (9)
Enrolment, attendance, school entry, grade repetition	Administrative data from (2000-2005)	Chile	McEwan (52)
School participation – enrolment, dropout	Panel data (1998-2002)	Kenya	Evans and Miguel (16)
Attendance, and enrolment	School panel data 2003-2004	India	Afridi (24)
Enrolment, years of education completed	Household survey (1995-2004-5)	Burkina Faso	Kazianga (53)
Enrolment, and completion	Demographic surveillance area KwaZulu-Natal (2000-2004)	South Africa	Case and Ardington (7)
Dropout, and enrolment	Data from birth histories and birth history	South Africa	Grant and Hallman (8)
Attendance, and dropout	Intervention study (2008-2009)	Malawi	Pridmore and Jere (54)
Grade of dropping out, grade of enrolment	Gansu Survey of Children and Families (2000-2004)	China	Zhao and Glewwe (26)
Grade repetition; grade attainment	Senegal Household Education and Welfare (1995-2003)	Senegal	Glick and Sahn (55)
Grade progression, school mobility , age at school entry	Birth-to-Twenty cohort study	South Africa	Ginsburg et al (10)
Dropout, age-in-grade- progression, and repetition	Education Management Information Systems	South Africa	Motala et al (11)

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Table 5: Publications that used data from more than one country arranged by the source of data that was used, country in which data was collected, and reference for the publication

Outcome	Data source	Country	Reference
Attendance	1. World Bank Unit-record household datasets	1. 15 African countries	1. Kakwani et al (40)
	2. Demographic and Health Survey (DHS)	2. 30 countries in Africa	2. Longwe and Smits (56)
Enrolment	1. Cross-sectional surveys	1. Malawi and Kenya	1. Schafer (57)
	2. DHS and Integrated Household Survey (IHS)	2. 34 sub-Saharan African countries	2. Smith-Greenaway and Heckert (35)
	3. Case study	3. Ghana, Nigeria and Togo	3. Tuwor and Sossou(39)
	4. DHS	4. 21 poor countries	4. Filmer (34)
	5. Case study: Ministry of Education, United Nations, interviews, survey	5. Guinea and Ethiopia	5. Colclough et al (38)
	6. Case studies: interviews and observations of schools	6. Jamaica, Kenya, Tanzania, Ghana, Indonesia, Pakistan	6. Heyneman and Stern (33)
Dropout	1. National Survey of Adolescents	1. Burkina Faso, Uganda, Ghana, Malawi	1. Biddlecom et al (37)
	2. DHS	2. Burkina Faso, Cameroon, Ivory Coast, Guinea, Togo	2. Lloyd and Mensch (58)
	3. DHS	3. 20 countries in sub-Saharan Africa	3. Melhado (59)
Completion	1. Multiple Indicator Cluster Survey; DHS	1. Africa	1. Lloyd and Hewett (36)
Multiple outcomes	1. DHS	1. Kenya, Malawi, Nigeria, Tanzania, Uganda, and Zambia	1. Lewin and Sabates (44)
	2. Armed conflict dataset of the international peace research institute	2. 43 countries in Africa	2. Poirier (41)
	3. DHS	3. Developing countries	3. Grant and Behrman (32)
	4. DHS	4. Global	4. Filmer and Pritchett (31)
	5. Education Management Information Systems	5. Sub-Saharan Africa	5. Lewin (4)

Table 6: Health and Demographic Surveillance System sites within the INDEPTH Network arranged by continents

	Africa	Asia	Oceania
Country and HDSS	<ol style="list-style-type: none"> 1. Burkina Faso: Ouagadougou; Nouna; Sapone; Kaya; Nanoro 2. Cote D'Ivoire: Taabo 3. Ethiopia: Gilgel Gibe; Kersa; Butajira; Dabat; Kilite Awlaelo 4. The Gambia: Farafenni; West Kiang 5. Ghana: Navrongo; Dodowa; Kintampo 6. Guinea Bissau: Bandim 7. Kenya: Kisumu; Kombewa; Mbita; Kilifi; Nairobi 8. Malawi: Karonga 9. Mozambique: Chokwe; Mahinca 10. Nigeria: Nahuche; Cross River 11. Senegal: Bandafassi; Niakhar; Mlomp 12. South Africa: ACDIS, Agincourt; Dikgale 13. Tanzania: Ifakara; Rufiji; Magu 14. Uganda: Rakai; Iganga/Mayuge; Kyamulibwa 	<ol style="list-style-type: none"> 1. Bangladesh: Matlab; Chakaria; Bandarban 2. India: Ballabgarh; Birbhum; Vadu 3. Indonesia: Purworejo 4. Thailand: Kachanaburi 5. Vietnam: Chililab; Dodolab; Filabavi 	<ol style="list-style-type: none"> 1. Papua New Guinea: Wosera; PIH

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